I hereby certify that this correspondence is being deposited with the U.S. Postal Service on the date set forth below as First Class Mail in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Arlington,

VA 22313-1450.

Date of Signature and (IP Deposit:

Attorney of Record

OCT 18 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Sergio Bortolloni, et al.

Application No.:

10/813,103

Filed:

March 30, 2004

For:

ROTARY SERVICE SWITCH FOR THE INTERIOR OF ELECTRICAL ENCLOSURES

HAVING A DISCONNECT SWITCH

Docket No.:

03AB201

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§1.97 AND 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This paper is being presented for filing in the above case pursuant to Rules 97 and 98 of the Rules of Practice. Form PTO 1449 "Information Disclosure Statement by Applicant" is attached which lists the references cited and copies of the references are enclosed.

No additional fees for filing this paper are believed to be due. However, the Commissioner is hereby authorized to charge an additional fee due or to credit any overpayment to deposit account no. 17-0055.

Respectfully submitted,

Michael J. McGovern

Req. No. 28,326 Quarles & Brady LLP

411 East Wisconsin Avenue

Milwaukee, WI 53202-4497

(414) 277-5725

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE <u>1995, no persons are required to respond to a collection of information unless it contains a valid QMB control number.</u>

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/813,103		
Filing Date	March 30, 2004		
First Named Inventor	Sergio Bortolloni, et al.		
Art Unit	2832		
Examiner Name			
Attorney Docket Number	03AB201		

				DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# kriown)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
		<sup>US-</sup> 2004/0099515 A1	05-27-2004	Houck, III	
		<sup>US-</sup> 6,700,081 B1	03-02-2004	Houck, III	
		US-			
	İ	US-			
		US-			

			<u>IGN PATENT DOCU</u>	MENTS		
Examiner Cite Initials* No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines. Where Relevant Passages	П	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T
						L
						L
	<u> </u>		_			
						Г

Examiner	Date	
Signature	Considere	d

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\) Applicant's unique citation designation number (optional). \(^2\) See Kinds Codes of USPTO Patent Documents at <a href="https://www.usptc.gov">www.usptc.gov</a> or MPEP 901.04. \(^3\) Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \(^4\) For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \(^5\) Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \(^6\) Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO		e required to respond to a collection of information unless it contains a valid OMB control number.  Complete if Known			
Substitute for form 1449/F10			Application Number	10/813,103	
INFORMATION DISCLOSURE			Filing Date	March 30, 2004	
STATEMENT BY APPLICANT			First Named Inventor	Sergio Bortolloni, et al.	
(Use as many sheets as necessary)			Art Unit	2832	
			Examiner Name	***	
Sheet 2	of	2	Attorney Docket Number	03AB201	

Examiner	Cite	OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	I
Initials*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Rockwell Automation Bulletins 194C, 194E, 194L, "Flexible Solutions in Control and Load Switches", pgs. 2, 3, 4, 34, 36, 43, 46, 47, 48, and 49, January 1999.	
			_

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete. including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.